

APPLICATION FOR A MERCHANT ELECTRIC GENERATING
FACILITY CONSTRUCTION CERTIFICATION

Deficiency sheets to:

Engineering
Legal

Filing Requirements Checklist

(Applicable Statute: SB257 – 2002 Kentucky General Assembly Regular Session. Section 4 and 5)
(Applicable Regulations: 807 KAR 5:100E and 807 KAR 5:110E)

Case No. _____ Applicant Name _____ Received Date _____ Form Circulation Date _____

- Instructions:
- 1) Each division noted by checkmark () is to complete its review and pass on within two days of receipt.
 - 2) This form is to list only the specific filing deficiencies as identified in the statute and regulations. If additional information is needed, an information request must be issued.
 - 3) Staff member should use initials and list date review is completed.
 - 4) Return to Docket Section following review by all divisions.

Reviewed by following divisions:

<u>Date</u>		<u>Staff Member</u>	
_____	Filings Division	_____	
_____	Engineering	_____	
_____	Legal	_____	

Division Responsible	Law/Regulation	Filing Requirement	Requirement Met	
			<u>Yes</u>	<u>No</u>
Filings	807 KAR 5:110E § 3(1)	An original and 10 paper copies and one electronic copy shall be filed.	_____	_____
Filings	§ 3(2)(a)	The application shall be in a bound volume with each item tabbed.	_____	_____
Filings	§ 3(2)(b)	The application shall contain a table of contents that lists, for each document enclosed, the number of tab behind which each document is located, the statutory provision pursuant to which the document is submitted and the name of the person who will respond to questions on information contained in the documents.	_____	_____

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement Met</u>	
			<u>Yes</u>	<u>No</u>
Filings	807 KAR 5:100E Sec 1(1)	An initial application fee of \$1,000.00 per megawatt of electricity generating capacity, based on the manufacturer's nameplate rated capacity of the proposed construction, except that the initial application fee for each application for each plant shall be in an amount not less than \$40,000 and not more than \$200,000.00.	_____	_____
Filings	SB 257 Sec 4(2)(a)	The name, address, and telephone number of the person proposing to construct and own the merchant electric generating facility;	_____	_____
Engineering	Sec 4(2)(b)	A full description of the proposed site, including a map showing the distance of the proposed site from residential neighborhoods, the nearest residential structures, schools, and public and private parks that are located within a two (2) mile radius of the proposed facility;	_____	_____
Legal	Sec 4(2)(c)(1)	Evidence of public notice that shall include the location of the proposed site and a general description of the project, state that the proposed construction is subject to approval by the board, and provide the telephone number and address of the Public Service Commission. Public notice shall be given within thirty (30) days immediately preceding the application filing to:; 1. Landowners whose property borders the proposed site.	_____	_____
		2. The general public in a newspaper of general circulation in the county or municipality in which the plant is proposed to be located;	_____	_____
Legal	Sec 4(2)(d)	A statement certifying that the proposed plant will be in compliance with all local ordinances and regulations concerning noise control and with any local planning and zoning ordinances.	_____	_____
Legal		Disclosure of setback requirements established by the planning and zoning commission;	_____	_____

<u>Division Responsible</u>	<u>Law/Regulation</u>	<u>Filing Requirement</u>	<u>Requirement Met</u>	
			<u>Yes</u>	<u>No</u>
Legal	Sec 4(2)(e)	1. If the facility is not proposed to be located on site of a former coal processing plant and the facility will use on-site waste coal as a fuel source or in an area where a planning and zoning commission has established a setback requirement pursuant to subsection (3) of Section 3 of this Act, a statement that the proposed site is at least one thousand (1,000) feet from the property boundary of any adjoining property owner and two thousand (2,000) feet from any residential neighborhood, school, hospital, or nursing home facility, unless facilities capable of generating ten megawatts (10MW) or more currently exist on the site.	_____	_____
		2. If the facility is proposed to be located on site of a former coal processing plant and the facility will use on-site waste coal as a fuel source, a statement that the proposed site is compatible with the setback requirements provided under subsection (5) of Section 3 of this Act.	_____	_____
		3. If the facility is proposed to be located in a jurisdiction that has established setback requirements pursuant to subsection (3) of Section 3 of this Act, a statement that the proposed site is compatible with those established setback requirements;	_____	_____
Legal	Sec 4(2)(f)	A complete report of the applicant's public involvement program activities undertaken prior to the filing of the application, including any use of media coverage, direct mailing, fliers, newsletters, public meetings, establishment of a community advisory group, and any other efforts to obtain local involvement in the siting process;	_____	_____
Legal	Sec 4(2)(g)	A summary of the efforts made by the applicant to locate the proposed facility on a site where existing electric generating facilities are located;	_____	_____
Legal	Sec 4(2)(h)	Proof of service of a copy of the application upon the chief executive officer of each county and municipal corporation in which the proposed facility is to be located, and upon the chief officer of each public agency charged with the duty of planning land use in the jurisdiction in which the facility is proposed to be located;	_____	_____

Division Responsible	Law/Regulation	Filing Requirement	Requirement Met	
			Yes	No
Engineering	Sec 4(2)(i)	An analysis of the proposed facility's projected effect on the electricity transmission system in Kentucky;	_____	_____
Legal	Sec 4(2)(j)	An analysis of the proposed facility's economic impact on the affected region and the state;	_____	_____
Legal	Sec 4(2)(k)	A detailed listing of all violations by it, or any person with an ownership interest, of federal or state environmental laws, rules, or administrative regulations, whether judicial or administrative, where violations have resulted in criminal convictions or civil or administrative fines exceeding five thousand dollars (\$5,000) and the status of any pending action;	_____	_____
Engineering	Sec 4(2)(l)	A site assessment report or a request that the Board accept documentation of compliance with the National Environmental Policy Act (NEPA) in lieu of a site assessment report, in which case documentation of NEPA compliance is included in the application.	_____	_____
Engineering	Sec 5(3)(a)	A site assessment report shall include a description of the proposed facility, including a proposed site development plan that describes:		
		1. Surrounding land uses for residential, commercial, agricultural, and recreational purposes;	_____	_____
		2. The legal boundaries of the proposed site;	_____	_____
		3. Proposed access control to the site;	_____	_____
		4. The location of facility buildings, transmission lines, and other structures;	_____	_____
		5. Location and use of access ways, internal roads, and railways;	_____	_____
		6. Existing or proposed utilities to service the facility;	_____	_____

Division Responsible	Law/Regulation	Filing Requirement	Requirement Met	
			Yes	No
Engineering		7. Compliance with applicable setback requirements as provided under subsections (2), (3), or (5) of Section 3 of SB 257;	_____	_____
		8. Evaluation of the noise levels expected to be produced by the facility;	_____	_____
		An evaluation of the compatibility of the facility with scenic surroundings.	_____	_____
		The potential changes in property values resulting from the siting, construction, and operation of the proposed facility for property owners adjacent to the facility;	_____	_____
		Evaluation of anticipated peak and average noise levels associated with the facility's construction and operation at the property boundary; and	_____	_____
		The impact of the facility's operation on road and rail traffic to and within the facility, including anticipated levels of fugitive dust created by the traffic and any anticipated degradation of roads and lands in the vicinity of the facility.	_____	_____
Legal	807 KAR 5:110E § 1(3)	The site assessment report shall also suggest any mitigating measures to be implemented by the applicant including planting trees, changing outside lighting, erecting noise barriers, and suppressing fugitive dust.	_____	_____
		The application shall be signed by at least one attorney of record in his individual name and shall include his address.	_____	_____